The Epidemiology Section of the American Public Health Association

2016 Wade Hampton Frost Lecture

Presented by Sherman A. James, Ph.D.

Colorado Convention Center, Denver, CO

Monday, October 31, 2016

### Wade Hampton Frost, MD



1880-1938

American Journal of Epidemiology Copyright © 1995 by The Johns Hopkins University School of Hyglene and Public Health All rights reserved	Vol. 141, No. 9 Printed in U.S.A
ISTORICAL PAPER	
AMERICAN JOURNAL OF EFIDEMIOLOGY Copyright © 1976 by The Johns Hopkins University School of Hygiene and Public Health	Vol. 104, No. 2 Printed in U.S.A
THE CONTRIBUTION OF THE SOCIAL ENVIRONMENT RESISTANCE'	TO HOST
THE FOURTH WADE HAMPTON FROST LECTURE	
JOHN CASSEL <sup>*</sup>	

"...Of all the words Frost wrote, the ones that have made the most impression on me have been his often quoted introduction to the reprinting of John Snow's papers... 'Epidemiology at any given time is something more than the total of its established facts. It includes the orderly arrangement into chains of inference which extend beyond the bounds of observation... '" p. 107 (italics added)

# **Expanding Black Freedom**

### The Difficult Pathway to Racial Health Equity in America

Sherman A. James, PhD Emory University

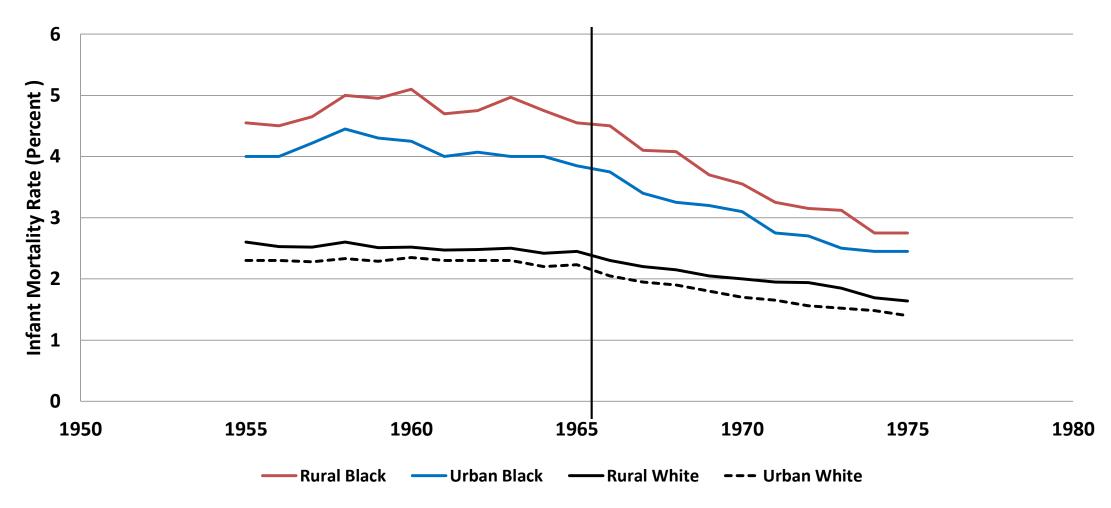
The Wade Hampton Frost Lecture

2016 APHA Annual Meeting Denver, Colorado

### Improved Birth Outcomes for Black Infants

1965-1980

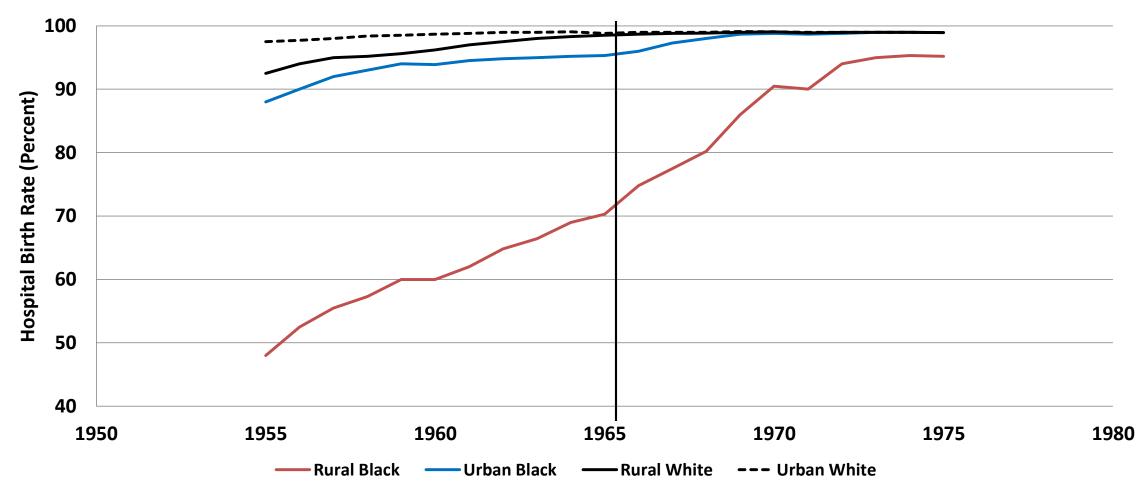
#### Black and White Infant Mortality Rates in the South, by Rural-Urban Location, 1955-1975



Chay KY, Greenstone M. The Convergence in Black-White Mortality Rates during the 1960s, American Economic Review, 2003

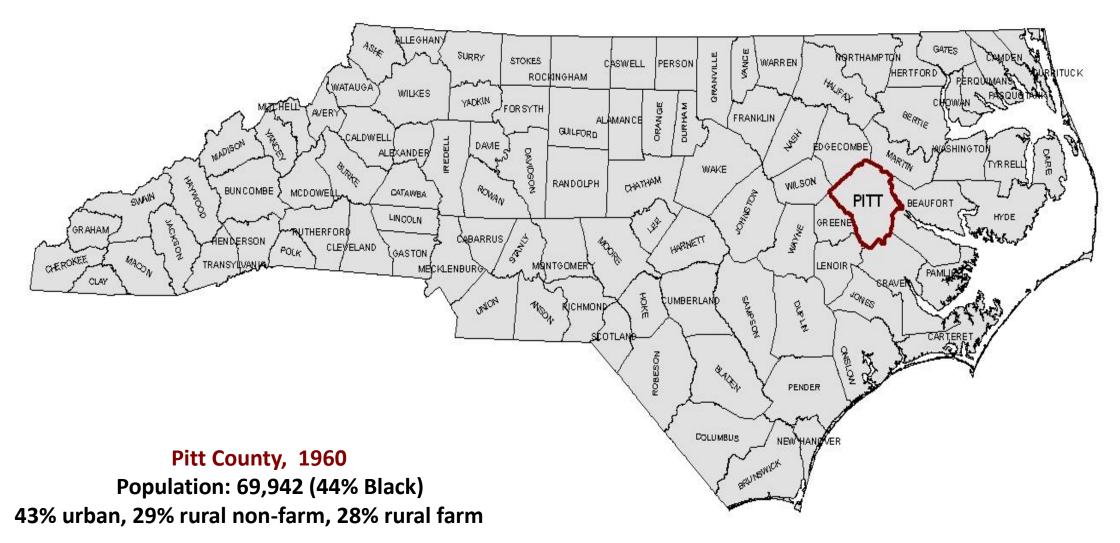
### Percentage of Hospital Births in the South with a Physician Present, By Race and Location,

1955-1975



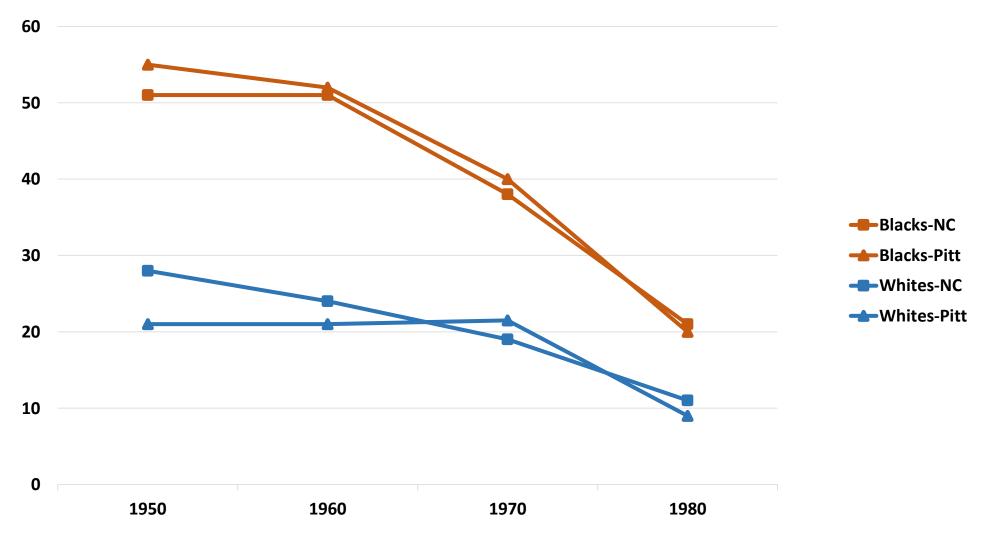
Chay KY, Greenstone M. The Convergence in Black-White Mortality Rate during the 1960s, American Economic Review, 2003

# **North Carolina Counties**



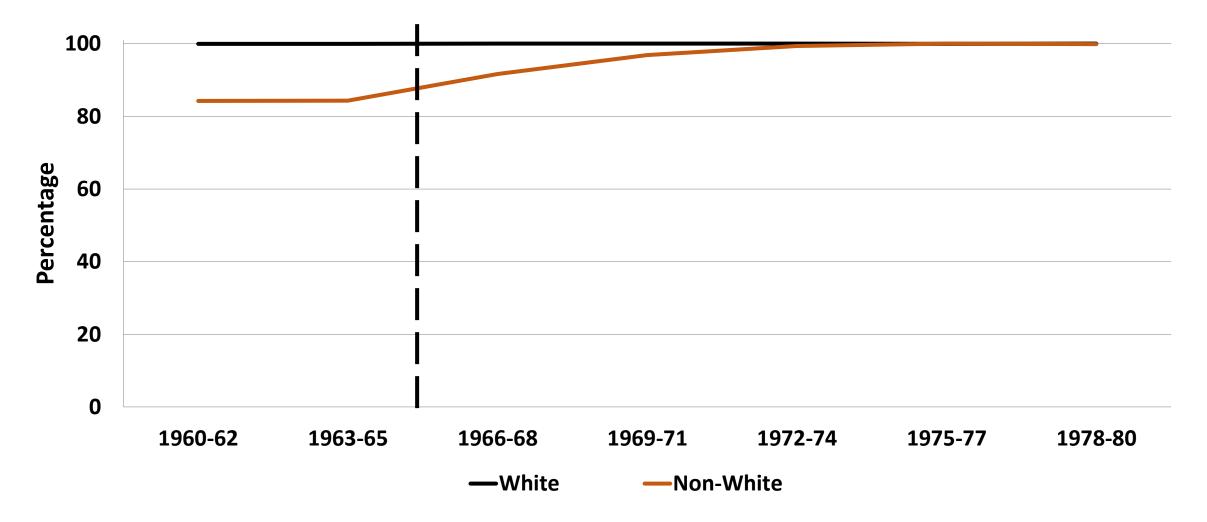
### **Race-Specific Trends in Infant Mortality,\* 1950-1980**

North Carolina and Pitt County, North Carolina



\*Three year average rates for each decennial period

#### Percentage of Births Attended by Physician by Race, Pitt County, NC



North Carolina State Center for Health Statistics. *Annual Report of Public Health Statistics, Part 2*. Archived Reports 1960-1964. and *North Carolina Vital Statistics, Volume 1*. Archived Reports 1965-1980.

Data calculated as 3 year averages.

Improved Health of Black Women born in late 1960s vs. early 1960s

### **Findings**

### **Potential Explanations**

Black women born in the late 1960s were healthier as adults and gave birth to fewer infants with LBW and APGAR scores than black women born in the early 1960s. *No similar differences were found for White women.* 

...social policies (e.g., Title VI of the 1964 CRA) that led to infant health improvements had long-run and intergenerational health benefits

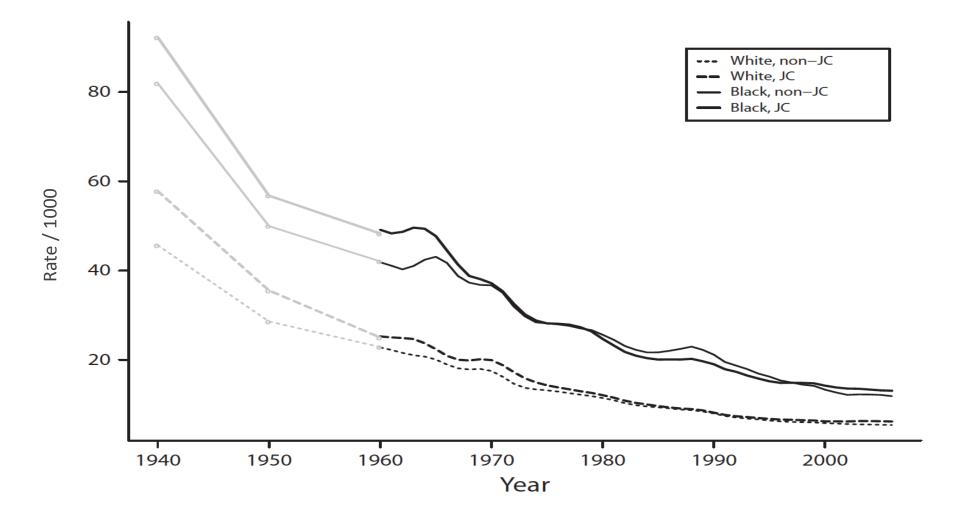
Almond D, Chay Ky. The Long-Run and Intergenerational Impact of Poor Infant Health: Evidence from Cohorts Born During the Civil Rights Era, NBER paper, 2006; ww.ssc.wisc.edu/~jkennan/teaching/jcpr-paper.pdf

# "Race"

...a *political relationship* between Blacks and Whites...best understood, perhaps, as the power of Whites to *dominate* and *exclude* Blacks from participating, *as equals*, in mainstream social, economic, and political institutions

~ Based on Krieger et al, 2013

Infant Death Rates among US Black and White Infants in The Jim Crow (JC) and Non–Jim Crow Polities



Note. Gray lines are based on published state vital statistics data; black lines are based on 1960–2010 compressed mortality file.

Krieger, Nancy et al. "The Unique Impact of Abolition of Jim Crow Laws on Reducing Inequities in Infant Death Rates and Implications for Choice of Comparison Groups in Analyzing Societal Determinants of Health." *American Journal of Public Health* 103.12 (2013): 2234–2244.

### Reduced Cardiovascular Disease Mortality among Blacks in the US South

1965-1980

### Large Improvements in CVD *Mortality Among US Blacks* 1968-1978

### **Findings**

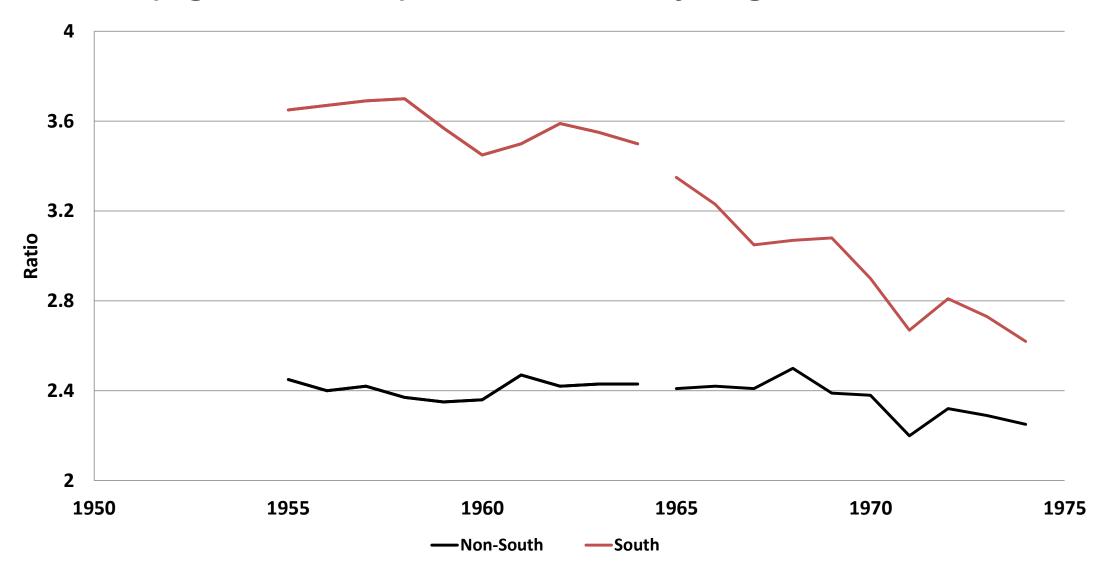
larger reductions in heart disease and stroke mortality for US Blacks (especially females) than Whites

### **Potential Explanations**

- 1. "...stress reduction through amelioration of racist social conditions?"
- 2. "...major advances in hypertension treatment and control in early 1970s?"

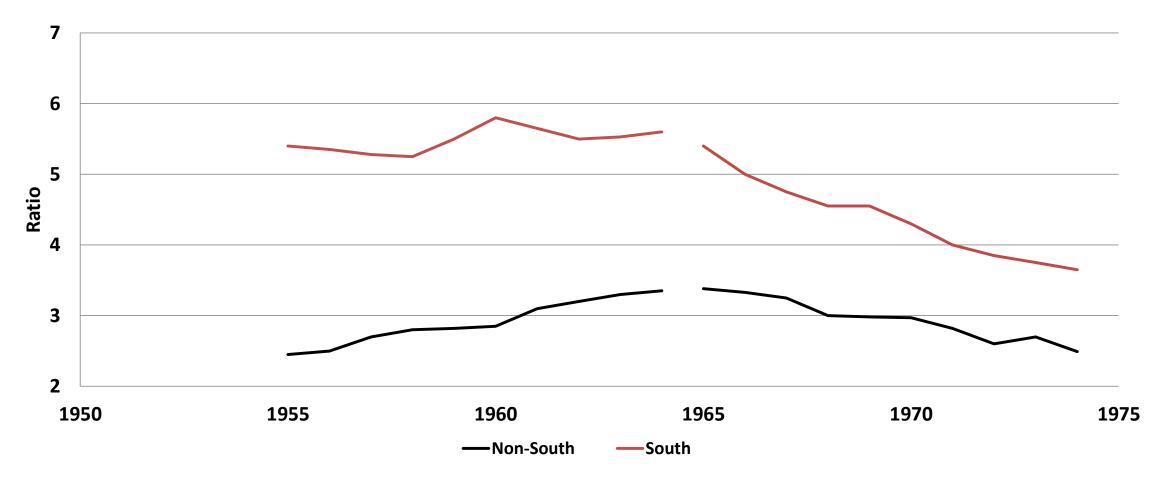
Cooper R, et al Improved Mortality among US Blacks, 1968-1978; International J of Health Services, 1981

### Trends in Black-White CHD Mortality Ratios (Ages 35 to 64) for Females, By Region, 1955-1974



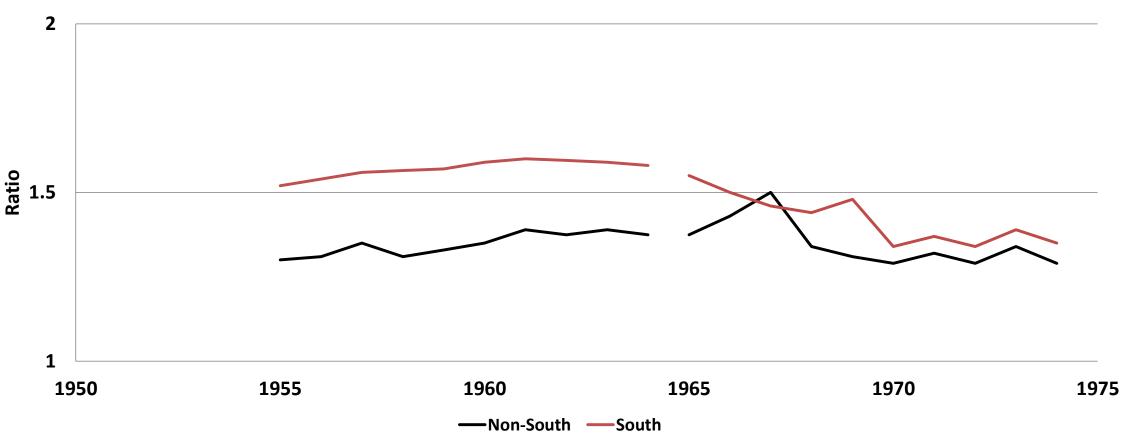
Kaplan et al, Lifting Gates, Lengthening Lives...in Schoeni et al (eds.), Making Americans Healthier 2008

### Trends in Black-White Stroke Mortality Ratios (Ages 35 to 64) for Females, By Region, 1955-1974



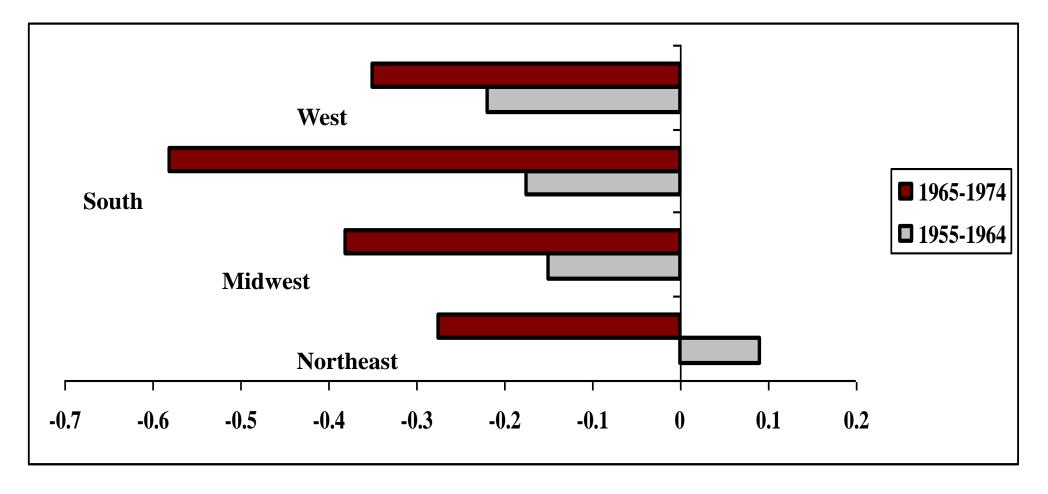
Kaplan et al, Lifting Gates, Lengthening Lives...in Schoeni et al (eds.), Making Americans Healthier 2008

### Trends in Black-White Cancer Mortality Ratios (Ages 35 to 64) for Females, By Region, 1955-1974



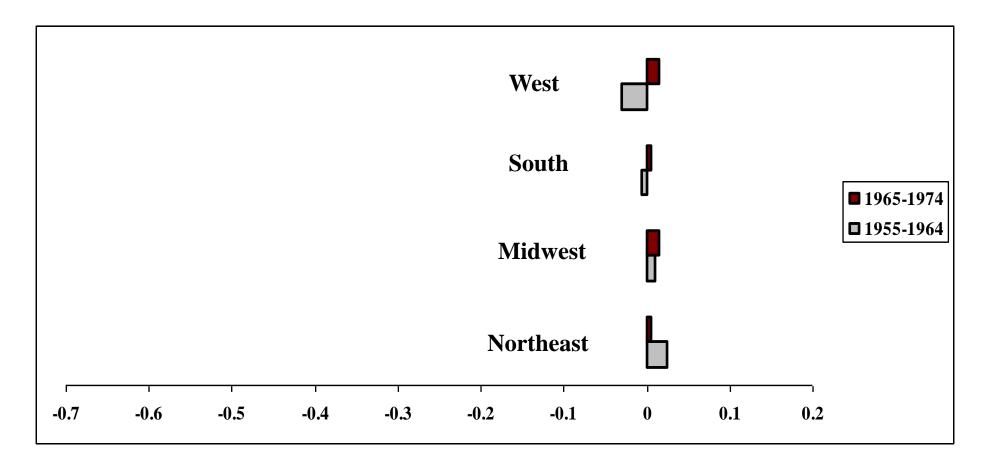
Kaplan et al, Lifting Gates, Lengthening Lives...in Schoeni et al (eds.), Making Americans Healthier 2008

### Change in Black-White Ratio of Female Mortality (Ages 35-64): 1955 to 1964 and 1965 to 1974



Kaplan G. et al, Lifting Gates, Lengthening Lives... Making Americans Healthier 2008; Figure 6.8, p. 157

# Change in Black-White Ratio of Male Mortality (Ages 35-64): 1955 to 1964 and 1965 to 1974



Source: Kaplan et al, Lifting Gates, Lengthening Lives...in Schoeni et al (eds.), Making Americans Healthier 2008

### Race-Gender-Regional Differences in Black/White CVD Mortality Ratios, 1955-64 vs. 1965-74

#### **Findings**

Larger reductions in Black/White CVD mortality ratios, for females – *but not males* - in the South than non-South, 1955-1964 vs. 1965-74

#### **Potential Explanations**

**1965-1980:** Black females (especially in the South) achieved major social status gains (e.g., jobs, higher income) relative to White females...*not so for Black males...* evidence for improved psychosocial and material life conditions stronger than for improved BP control

### **Fundamental Social Causes of Health Inequalities**

Socioeconomic and racial disparities in health persist despite changes in the leading causes of death because socioeconomic and racial elites flexibly use:

> money, knowledge, prestige, power and beneficial social connections

to protect their health and wellbeing...

Link and Phelan, 1995; Phelan and Link, 2005, 2010

# "Race"

...a *political relationship* between Blacks and Whites...best understood, perhaps, as the power of Whites to *dominate* and *exclude* Blacks from participating, *as equals*, in mainstream social, economic, and political institutions

~ Based on Krieger et al, 2013

# "Caste"

"... A caste system occurs where a society is made up of birth ascribed groups which are hierarchically ordered and culturally distinct. The hierarchy entails *differential evaluation, rewards, and association...*"

Merriman, G. Stratification, pluralism, and interaction: A comparative analysis of caste; in de Reuck A, Knight J (eds.) <u>Caste and Race: Comparative Approaches</u>, Boston: Little Brown and Company, 1967; p. 48

### **Features of Caste Systems**

#### **Differential Evaluation**

• ascribed differences in intrinsic worth: "purity" (as in India) or genetically determined capabilities (as in the US)...

### **Differential Rewards**

 differential access to goods and services and differential power to influence the behavior of others...

### **Differential Association**

 social rank expressed by who may be one's friends, wife, neighbor... only within the caste is status equality found

### **Stressors and Social Rank in Primates**

"...In most social species, dominance rank influences the extent to which an individual sustains physical and psychological stressors...When the hierarchy is stable in species where dominant animals actively subjugate subordinates, it is the latter who are most socially stressed. This reflects the high rates of physical and psychological harassment of subordinates, their relative lack of social control and predictability, and their need to work harder to obtain food, and their lack of social outlets such as grooming or displacing aggression onto someone more subordinate ...

...During major hierarchical reorganization...dominant individuals...typically experience the greatest amounts of physical and psychological stress..."

Sapolsky, R. The Influence of Social Hierarchy on Primate Health, <u>Science</u>, 29 April 2005, vol. 308: 648-52

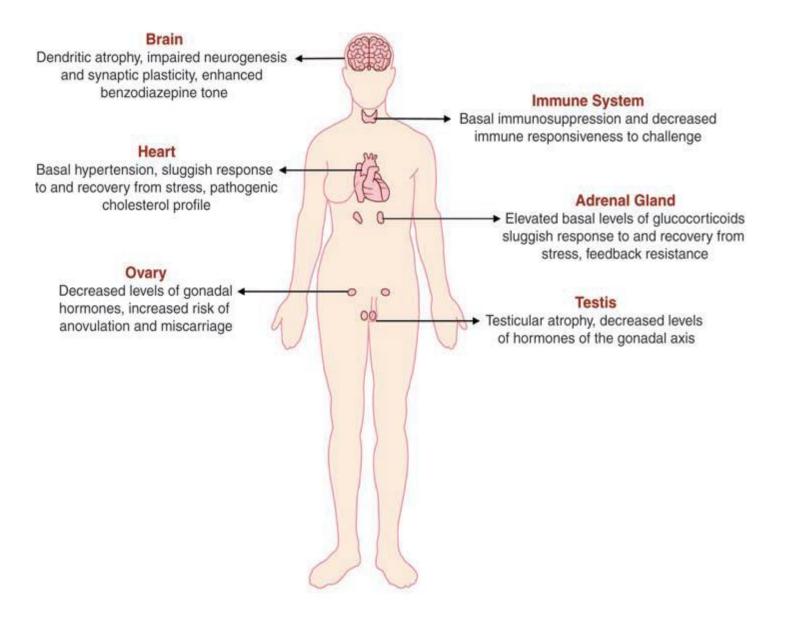


Fig.2. *Physiological correlates of the more stressful social rank*; Sapolsky R. The Influence of Social Hierarchy on Primate Health, <u>Science</u>, 29 April 2005, vol. 308: 50

### **Fundamental Social Causes of Health Inequalities**

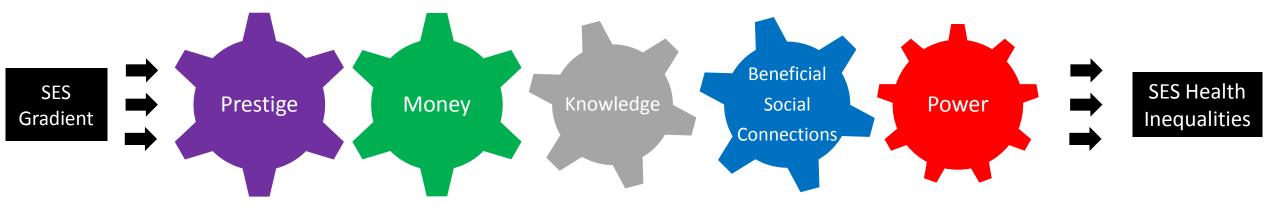
Socioeconomic and racial disparities in health persist despite changes in the leading causes of death because socioeconomic and racial elites flexibly use:

> money, knowledge, prestige, power and beneficial social connections

to protect their health and wellbeing...

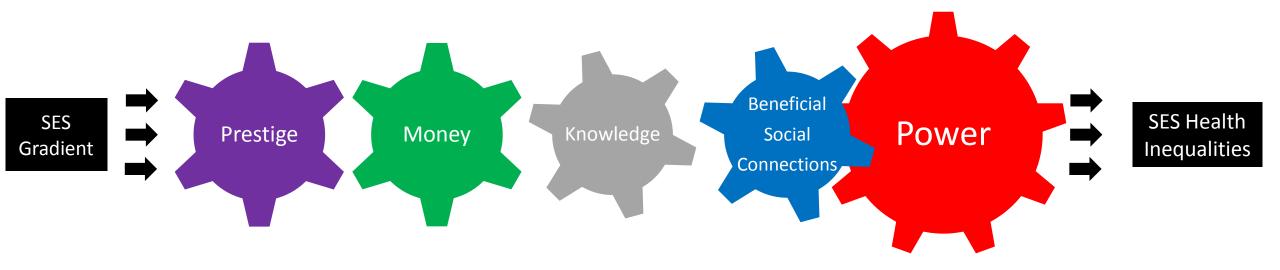
Link and Phelan, 1995; Phelan and Link, 2005, 2010

#### Conceptual Model: SES as Fundamental Causes of Health Inequalities

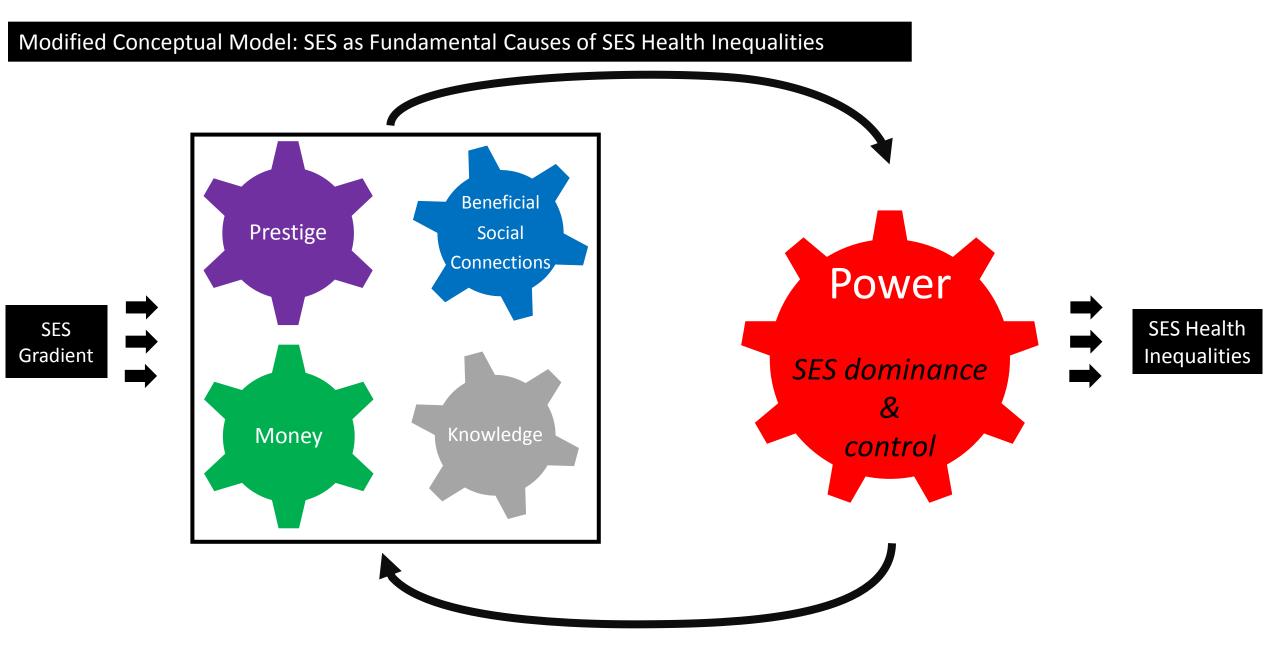


*Based on* Link and Phelan: *Social Conditions as Fundamental Causes of Disease;* Journal of Health and Social Behavior, 1995: 80-94.

#### Modified Conceptual Model: SES as Fundamental Causes of SES Health Inequalities



Based on Link and Phelan: Social Conditions as Fundamental Causes of Disease; Journal of Health and Social Behavior, 1995: 80-94.



Based on Link and Phelan: Social Conditions as Fundamental Causes of Disease; Journal of Health and Social Behavior, 1995: 80-94.

### Is Racism a Fundamental Cause of Inequalities in Health?

JC Phelan, BG Link Annual Review of Sociology December, 2015, 41: 311-330

## **Fundamental Social Causes of Health Inequalities** *Mechanisms of Systemic Racism*

#### **Institutional Resources**

White domination of political, legal, commercial, entertainment, education, health care, mass media, and other institutions

#### **Individual Resources**

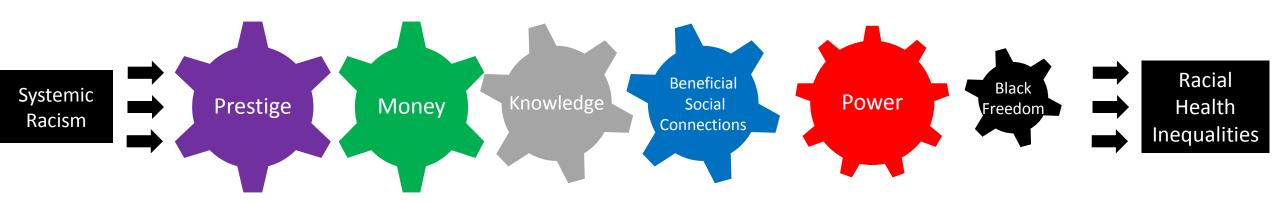
Whites' greater access to money, knowledge, power, prestige, and beneficial social connections

#### **Social Psychological**

Whites' negative stereotypes of Blacks

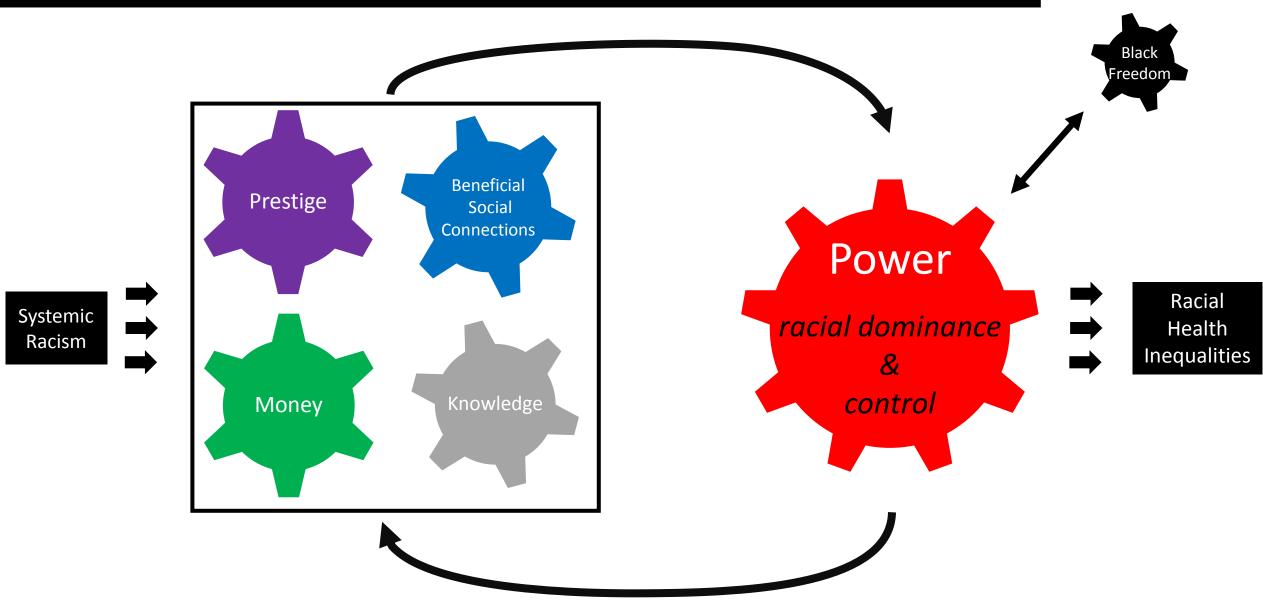
Feagin JR, <u>The White Racial Frame</u>, 2013; Phelan JC and Link BG, *Is Racism a Fundamental Cause of Inequalities in Health?* (August 2015), <u>Annual Review of Sociology</u>, 41: 315

#### Conceptual Model: Systemic Racism as Fundamental Cause of Racial Health Inequalities



Based on Phelan JC and Link BG., *Is Racism a Fundamental Cause of Inequalities in Health?* (August 2015), <u>Annual Review of Sociology</u>, 41: 311-330

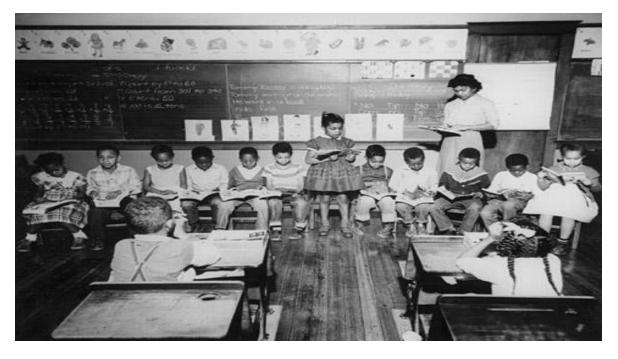
Modified Conceptual Model: Systemic Racism as Fundamental Causes of Racial Health Inequalities



Based on Phelan JC and Link BG, Is Racism a Fundamental Cause of Inequalities in Health? (August 2015), Annual Review of Sociology, 41: 315



Public Schools 1950s-1960s





Public Accommodations Sit-ins 1960-62



Public Transportation Freedom Rides 1961-63





THE FRUS SERVICE INFORMATION CONCERNING THE DEAPTEABANCE AT PHEADELFEA MUSILING OF THESE THREE INDIVIDUALS ON DRVE 25, 1954. ESTENSIVE INVESTIGATION 5 BEING CONDUCTED TO LOCATE GOODMAR, CHAREY, AND SCHWERNER, HED ARE DESCRIBED AS FOLLOWS.

AND DO WOOD ALLAN

JAMES DARL GRANTY

MICHAEL HENRY SCHWERER





Mine Manager A, 1938 Des Vari Dis Print Print Office Internets Rome Capital

Personal control of based of the second seco

#### E INDIVIDUALS. YOU ARE OFFICE OF THE PEL.

A CALLER AND OF LAWEST PER THERE

#### Voting Rights Mississippi Freedom Summer 1964





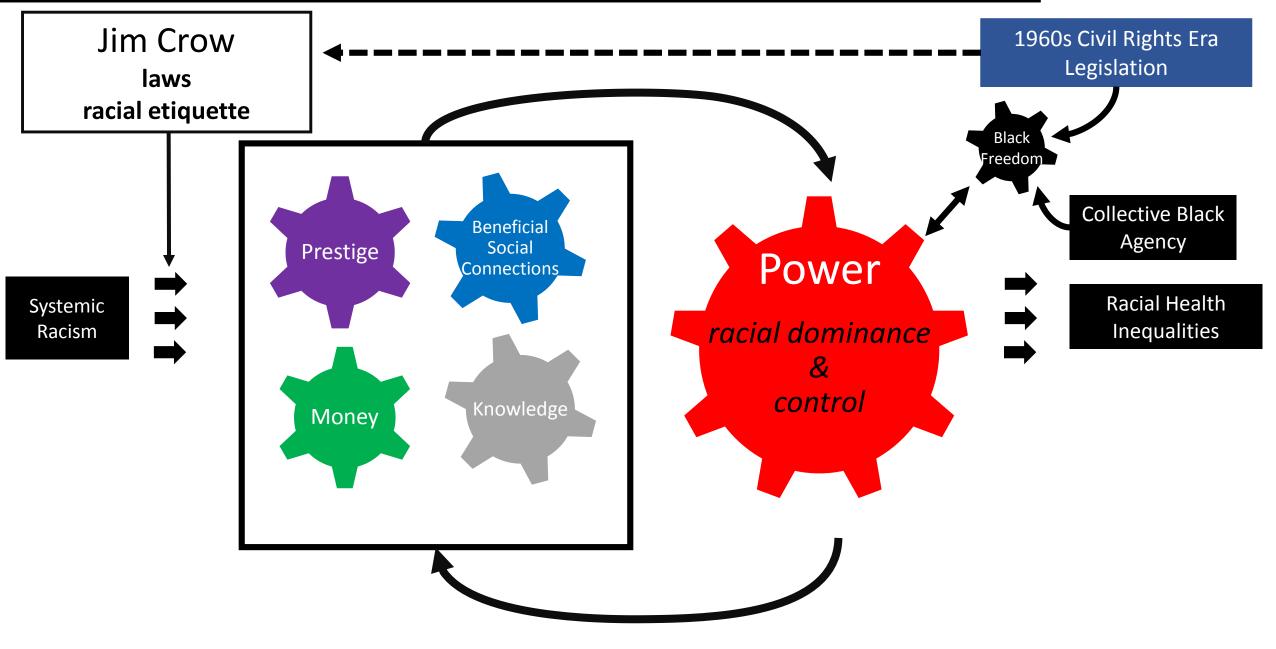




Voting Rights Selma to Montgomery March May, 1965 Jobs and Wages Sanitation Workers Memphis, 1968



#### Modified Conceptual Model: Expanding Black Freedom and Reducing Racial Inequalities



# Acknowledgements

- Epidemiology Section APHA
- Robert Wood Johnson Foundation\*
- Matthew McCurdy, MPH

\*Health Policy Investigator Award